

Licence & Management Review Service

DataCompliance LLC

DataCompliance LLC's Outsourced Licensing Management services helps our clients improve Data Governance, manage market data licences effectively, reduces and control costs, protect the P&L and mitigate compliance risk. Our 3 stage project includes:

1 Health Check

- DataCompliance reviews the existing licensing structure in place to advise and verify if the existing environment reflects actual usage
 - Assessment of the potential compliance risk to the client, and if yes identify the potential liabilities in dollar terms
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- Process
 1. Review existing in situ licences
 2. Map to actual market data usage
 3. Identify exceptions and actual requirements
 4. Identify cost of licences

2 Licence Structure Implementation

- We work with our clients, the exchanges and other data sources to complete all required documentation required to have in place full compliance
 - Objective is to have the right licence environment at the lowest cost
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- Process
 1. Apply to Exchanges, Data Sources for correct licences
 2. Ensure source and exchange are in agreement on licence requirements
 3. Review and verify contractual conditions
 4. Advise on implementation

3 Ongoing Licence Management

- Ensure our clients employ best practice licence management to mitigate where possible risk of potential liabilities
 - Liaise with vendors to ensure downstream compliance through accurate usage reporting
 - Provide monthly analytical reports of licence management and costs of service
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- Process
 1. Monitoring and reporting of changes to permitted usage policy or commercial policy
 2. Interact with sources and vendors in regard to any compliance matters relating licensing
 3. Deal with any and all compliance matters pertaining to existing data sources
 4. Deal with any and all Licensing Agreement matters pertaining to existing data sources
 5. Provide onsite support as required to maintain level of service

Find out More

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www.DataCompliancellc.com

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Practical Benefits

DataCompliance LLC

DataCompliance offers clear benefits to financial institutions for the professional licence management of market data services. These are:

Cost Reduction



DataCompliance's consultants have successfully reduced real costs to clients for market data licensing and services by an average of **26%** of original spend.

Maximising Usage/Minimising Spend



Having the correct licences in places means maximising market data usage while minimising cost. This enables the business to build on market data as a revenue earning resource.

Dollar Liabilities Mitigation



Reduced risk and exposure to data licence audits and potential audit liabilities. Protects the P&L. Exchanges are less likely to audit professional licence managers.

Reporting & Analysis



Improves the ability to meet exchange, vendor, and internal reporting requirements. Ongoing analysis identifies trends in usage and enables effective cost control.

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Access to Excellence



Access to experienced professional market data licence managers whose focus in licence management on behalf of clients. This is an expensive resource to maintain in-house.

Better Resource Management



On-going licensing management reduces clients resources required for management and administration. Lowers ongoing costs, and allows internal managers to focus on management.

Audit Defence



Access to professional advice during audits which minimises potential 'findings' of exchanges and data sources. Being involved at the start saves time, money, and resources.

Strong Track Record



DataCompliance's consultants have a strong track record in audit defences and has successfully mitigated multi-million dollar findings.

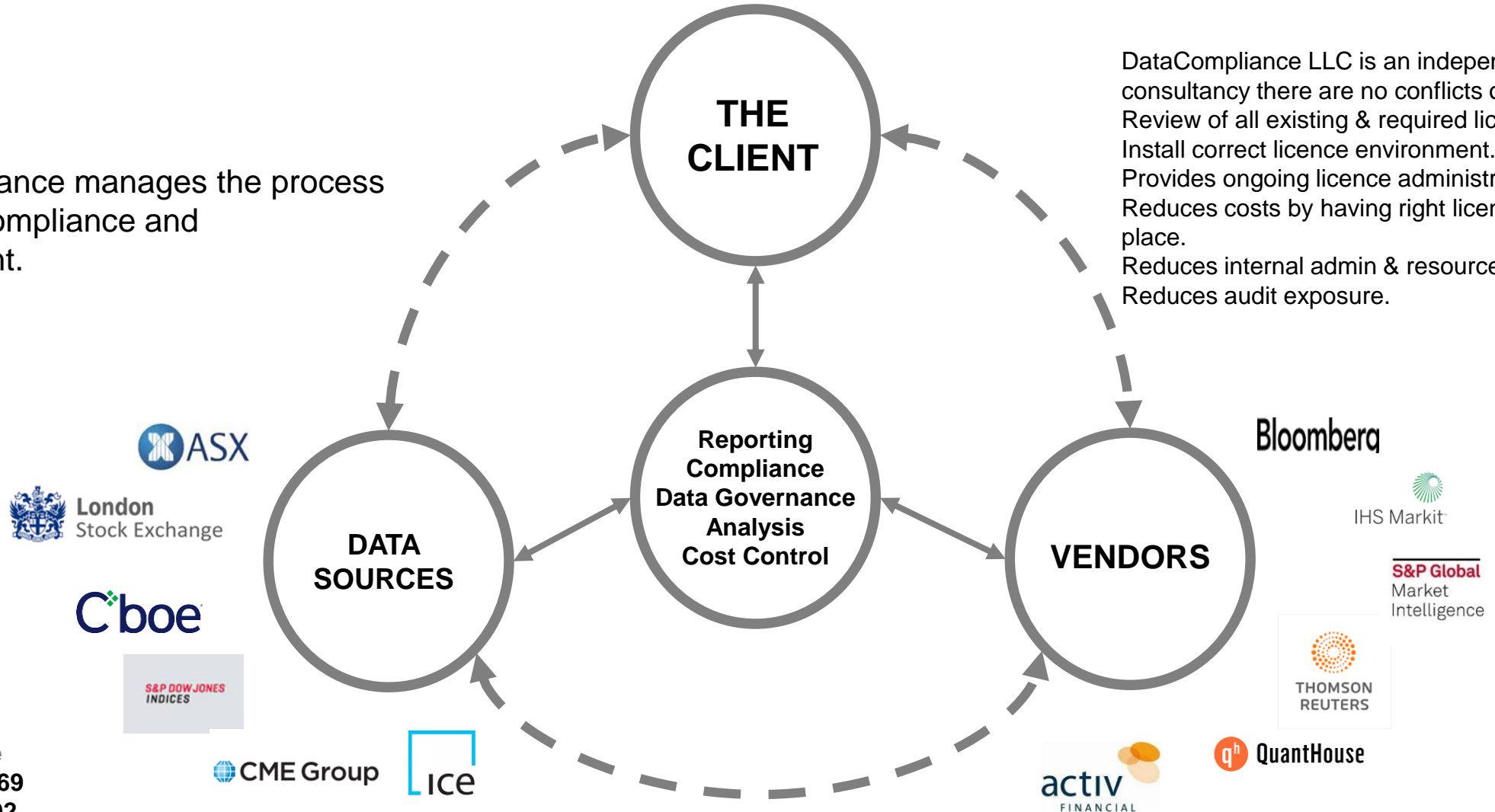
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The Heart of the Process

DataCompliance LLC

DataCompliance manages the process of licence compliance and management.

DataCompliance LLC is an independent consultancy there are no conflicts of interest. Review of all existing & required licences. Install correct licence environment. Provides ongoing licence administration. Reduces costs by having right licences in place. Reduces internal admin & resource costs. Reduces audit exposure.



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Engagements

Who we have worked with

DataCompliance LLC



J.P.Morgan

Interactive Data



Mizuho Securities



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Find out more about us

DataCompliance LLC

David Toomey-Wilson and Keiren Harris inaugurated **DataCompliance LLC** as an innovative advisory and management consultancy focusing on the ever complex world of licensing data usage.

DataCompliance advises participants across all financial markets on how to effectively validate, change and profit from data resources, leverage information and market data benefiting their businesses direct to the bottom line through the effective use of their IPRs and ensure ongoing compliance and risk mitigation.

Expert innovation is the key driver in approach and delivery, all built on continuously proven track records.

Find us at www.datacompliancecellc.com

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