

Introducing our Business

DataCompliance LLC



Market Data Compliance is more than good data governance. It is the practice of maximising the efficient use of data as a valuable resource, not just a cost.



Our focus is all about 'Who Owns Data?' and best practice data governance. This is core to the entire business of market data.



It is about creating data, accessing data, the regulation of data usage, and most importantly the policing and protection of IPRs and data ownership.



Maximising data utility while minimising risk is key to the cost efficiency dollar equation.



This is not only about sourcing data externally, but maximising the value of in-house and proprietary data.



DataCompliance LLC provides a future view by not only analysing how market data is being used now, but how it will be used in the future.



This is what we do.

Our Business

Business Value: Turning Data Into Dollars

DataCompliance LLC

DataCompliance LLC advises clients on the ever more critical issues of data ownership and data governance ensuring best practice while reducing potential risk and liabilities to the business. This includes:

Data Governance Strategies

Rights & Licence Management

Principles of Data Policy

Application of Usage Rights.

Compliance & Audit Reviews

Audit Defence

Liability Mitigation

Licence & Contract Management

Regulatory Impacts on Data Sourcing

Best Practice Data Sourcing

Maximising Value of Proprietary Data

Reducing Costs, Maximising Efficiency

1 Compliance Review

- Assess compliance with existing contracts, policies, and licences
- Identify potential liabilities from any non-compliance
- Review the business requirements for data licences & eliminate those not needed
- Review cost of licences
- Best practice compliance policies

2 Audit Defence

- In depth internal analysis of an exchange's findings
- Assess whether the exchange has correctly applied its policies to the findings.
- Verify and then report what the actual findings should be.
- Create an audit defence strategy
- Work with the client to negotiate an acceptable solution with the exchange

3 Licence Management

- Ongoing management of market data licences.
- Exchange usage reporting
- Proactively communicate changes to exchanges policies, licences and fees
- Advise on alternatives when changes occur
- Benchmark costs
- Provide audit mitigation and defence services

4 Data Sourcing

- Ensure best practices for data sourcing
- Cost effective data acquisition to meet client needs across all asset classes
- Create hierarchies and structures to benchmark data source requirements.
- Creation and management of data asset inventories
- Management of CMS & DMS systems

5 Data Creation

- Identifying the value of internal data
- Monetisation of proprietary data sets
- Business strategies for maximising revenue returns
- Developing policies and licences for data sales
- Maximise the value of internal self-sourcing of data
- Assess the regulatory value of internal data

Our Business

Connecting the Ownership Dots

DataCompliance LLC

1 Eliminating Fragmentation

- Existing data management can be fragmented, and linear
- Understanding information and ownership flows improves business usage of data

2 Removing Structural Inefficiencies

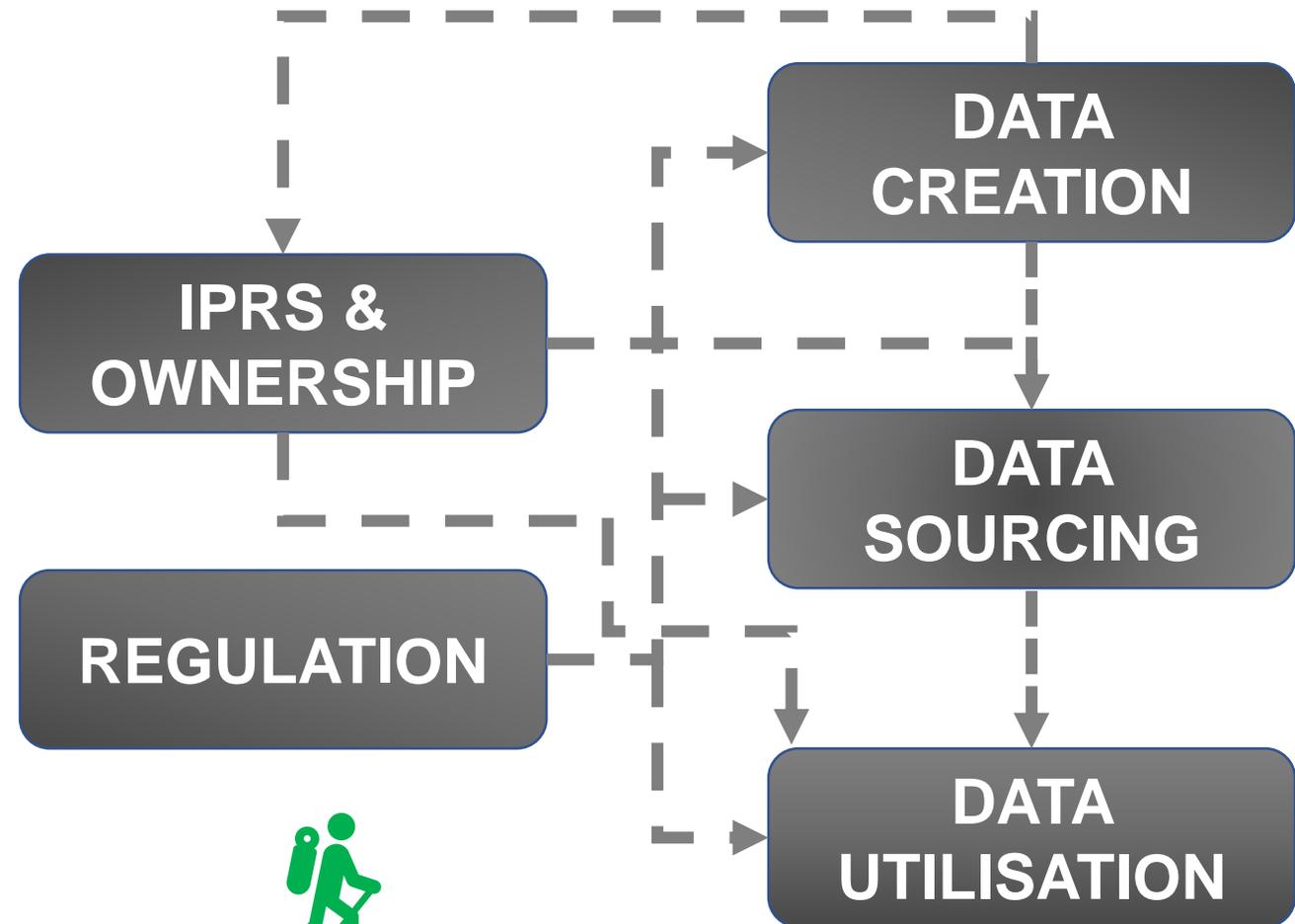
- Fragmentation creates inefficiencies and introduces compliance risk
- This leads to minimisation of data utilisation, while maximising out of contract data usage

3 Value of IPRs & Due Diligence

- Data creators seek to increase the dollar value of their IPRs
- Regulators set new standards for due diligence in data creation, sourcing and utilisation

4 Driving Data Consumption

- This drives increasing and more complex data requirements, creates risk and raises costs
- It increases exposure to non-compliance with policies and licences

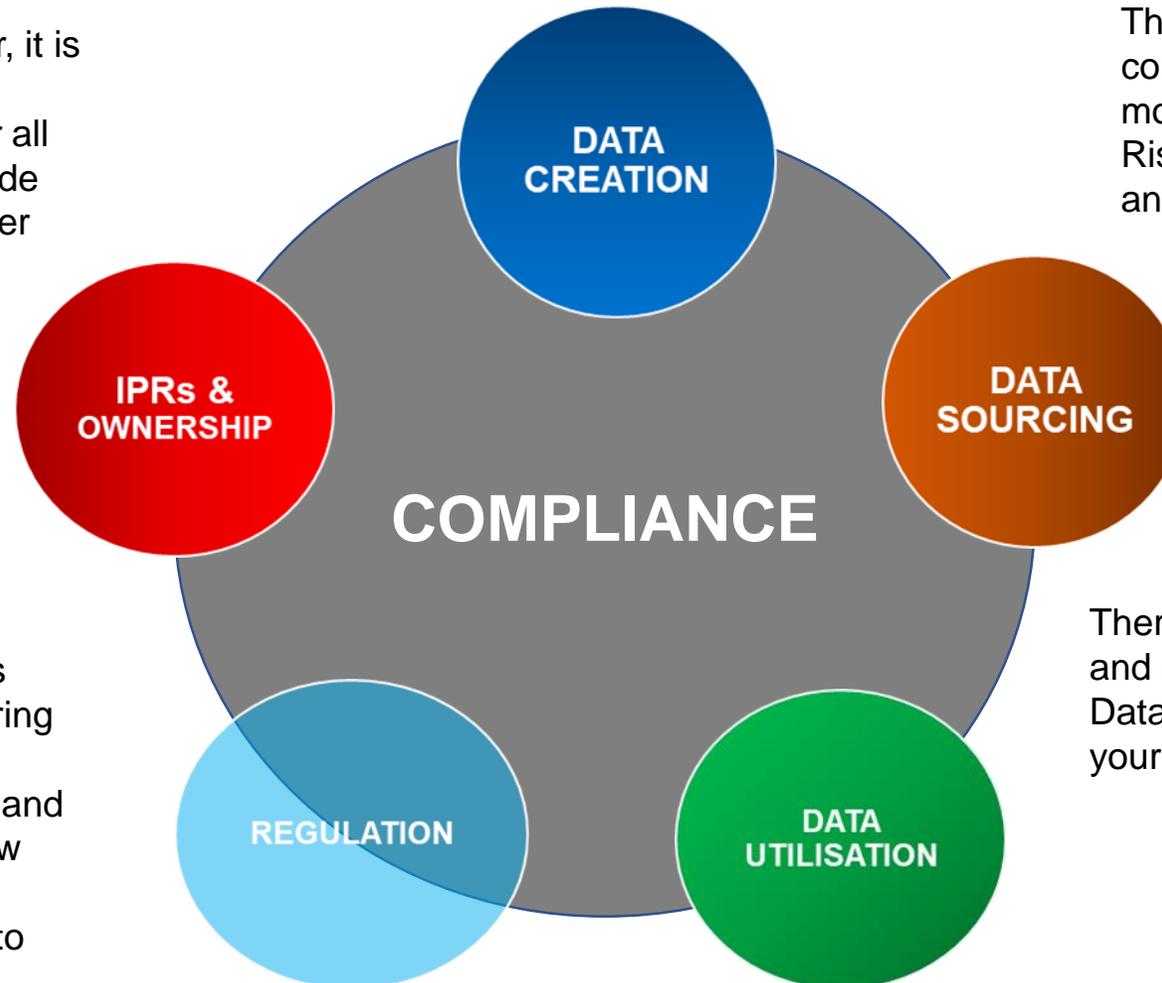


Our Business

Taking the Global View

Data Compliance is no longer linear, it is omni-directional. Management needs to pull together all the elements of data usage to provide greater control and oversight at lower cost.

This changes relationship dynamics both internally and externally, requiring processes to adapt. Data sourcing, storage, distribution and accessibility are being driven by new technologies. This introduces structural changes to strategies and requirements.



This makes best practice data compliance harder to achieve in a more complex environment. Risk increases as data usage expands, and more sources are required.

There are no substitutes for experience and expertise. DataCompliance LLC adds value to your teams.

Engagements

Who we have worked with

DataCompliance LLC



J.P.Morgan



Mizuho Securities



Licence & Management Review Service

Find out more about us

DataCompliance LLC

David Toomey-Wilson and Keiren Harris inaugurated **DataCompliance LLC** as an innovative advisory and management consultancy focusing on the ever complex world of licensing data usage and sourcing quality data.

DataCompliance advises participants across all financial markets on how to effectively validate, change and profit from data resources, leverage information and market data benefiting their businesses direct to the bottom line through the effective use of their IPRs and ensure ongoing compliance and risk mitigation.

Expert innovation is the key driver in approach and delivery, all built on continuously proven track records.

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